

Northamptonshire Health Charitable Fund

Trading as Northamptonshire Health Charity

Charity No 1165702

Annual report and accounts



Year ended 31 March 2022

Northamptonshire Health Charitable Funds Annual Report and Accounts for the year ending 31 March 2022

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Foreword by the Chairman of the Northamptonshire Health Charitable Fund

I am pleased to introduce the sixth annual report of the Northamptonshire Health Charitable Fund.

This annual report reflects the successful merger of NHC with the KGH charity at the beginning of the financial year and previous year comparatives have been updated accordingly.

I am pleased to report that the charity has continued throughout the year to make a significant and tangible difference to the patients, their families and the staff at Northampton General Hospital (NGH), Kettering General Hospital (KGH) & Northamptonshire Healthcare Foundation Trust (NHFT).

Some key highlights have been:

Spending over £240k on patient welfare targeted at improving the patient experience.

Spending over £150k on medical equipment to meet the health needs of the local community.

Spending over £160k on welfare to enhance the support and facilities available to staff who have continued to work so conscientiously and professionally over the past year.

As in previous years making sure that every in-patient at both NGH and NHFT received a present on Christmas Day, with this initiative now extended to fully cover KGH. Thanks as always to the generosity of all who donated gifts to our Christmas appeal.

Your contributions made this work possible and your future donations are the key to our continued success. In supporting the charity, we hope you will get a real sense of visible and tangible investment in the wellbeing of our community.

Finally, I would like to thank all our donors, fundraisers, my fellow trustees, and the many volunteers who work alongside the professional staff of the charity. Their commitment and dedication is absolutely outstanding.

Nick Robertson

October, 2022

Our Vision and strategic objectives

The Northamptonshire Health Charitable Fund was registered as a Charitable Incorporated Organisation on the 23rd February 2016, registration No 1165702. The charity uses Northamptonshire Health Charity as its abbreviated form on the majority of its communications with the public.

The main objectives of the Charity are to hold trust funds and apply the income and capital for any charitable purposes relating to the National Health Service wholly or mainly for the services provided by:-

Northampton General Hospital NHS Trust (NGH)
Northamptonshire Healthcare NHS Foundation Trust (NHFT)
Kettering General Hospital NHS Foundation Trust (KGH)

By active fundraising and by securing donations, legacies and sponsorship, the charity seeks to provide the additional support to make a real difference for the patients, their families and the staff who look after them.

We invite you to support us in our work, so please read on, and let us tell you more about ourselves, what we do, what we have achieved and how we go about spending the money given to us.

In setting objectives and making plans for the year, the trustees considered the Charity Commission's general guidance on public benefit and in particular to the public benefit of providing support for the relief of ill-health.

The trustees adopted a set of strategic objectives in April 2019 which continue to act as the framework for the direction of the charity and are presented diagrammatically on the next pages of the report along with a review of current year achievements.

Our Vision and strategic objectives



Specific targeted deliverables

Grant making	Clear annual spending plans that reflect priorities of the beneficiary organisations.
Fundraising & marketing	Annual 18 month itemised marketing plan including targets for appeals. Successfully progress at least one major appeal (£1m +) for each of NHFT and NGH.
People	Long term staffing plan, and appropriate remuneration framework in place.
Resources	Springfield site developed to maximise charity and trust benefits.
Local Community	Community engagement programme developed including targeted newsletters. Fundraising toolkit fit for purpose.
Partnership	Fund advisor briefing programme operational and effective sub committee structure. Identifiable local business partnerships in place.
Good Governance	Output from annual reviews and external audit.

Operational review

Review of the year

Our plans for the year reflected continuing to support the NHS Trusts as they responded to the ongoing challenges of the COVID-19 pandemic; specifically, the Stronger together county wide project which aimed to support mental health recovery of both social care and healthcare staff suffering as a consequence of their experiences during the pandemic.

Our specific plans also included

- The completion of the merger with the KGH charity.
- Enhancement of staff rest areas such as staff rooms and gardens,
- To obtain grant support from NHS Charities together and ensure effective usage of funds received.
- Promote appropriate fundraising initiatives that seek to specifically encourage community partners, and where appropriate service user involvement.
- Providing grants towards the enhancement of patient care facilities
- Continued support to local community care service provision including increased provision of complementary therapy sessions and skills development sessions.

Our achievements

The merger with the KGH charity successfully took place in April 2021, with continued awareness being raised.

Grant making during the year has prioritised supporting staff and patient facilities in accordance with the specific needs both trusts identified.

Our staff have shown significant commitment and flexibility during the year and their individual contributions have been amazing. Our community engagement programmes continued throughout the year but it was specifically pleasing that we were able to successfully extend our Christmas gifts distribution to cover KGH.

All three of the trust specific sub committees provided valued support continuing using Zoom meetings as the norm.

Operational review

The overall financial performance for the year is summarised with analysis between the beneficiary organisations.

	KGH Funds		NGH Funds		NHFT Funds		Core activity		Total	
	2020/21	2021/22	2020/21	2021/22	2020/21	2021/22	2020/21	2021/22	2020/21	2021/22
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Income										
Donations & other grants	216	568	252	337	50	103	394	57	912	1,065
ANHSC Grants	303	0	45	121	31	44	333	0	712	165
Legacies	219	189	177	137	0	0	0	5	396	331
Fundraising	28	66	19	66	12	3	46	42	105	177
Investments	0	0	27	44	0	0	48	52	75	96
Total income	766	823	520	705	93	150	821	156	2,200	1,834
Expenditure										
Fundraising costs	37	12	3	3	1	3	145	279	186	297
Grants paid	407	199	793	505	125	102	47	311	1372	1117
Support costs	33	0	0	0	0	0	111	166	144	166
Total expenditure	477	211	558	508	87	105	315	756	1702	1580
	289	612	36	197	(2)	45	(139)	(600)	498	254

The overall cost of fundraising is 18.9% of total donations, legacies and fundraising income generated during the year which compared to 17% which is the 2019/20 average for the NHS charity sector.

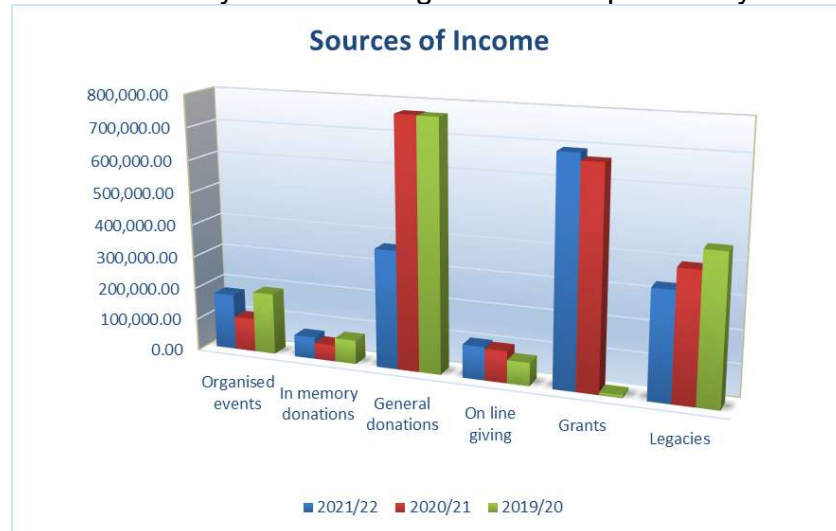
Operational review

Income

Donation income for the year has been dramatically impacted by the continued constraints on hospital visiting while the relaxation of restrictions has meant recovery in our events income stream.

Specific note needs to be made of the £410k grants from the Crazy Hats charity on its closure, £350k of which was for improvements to the KGH treatment centre waiting area. Further grant distributions were also received from NHS Charities Together.

The chart below reflects income by category for the past three financial years including KGH for all previous years.



Below is a thank you message we shared with all our donors towards the end of 2021.



It is thanks to kind and thoughtful people like you fundraising for Northamptonshire Health Charity, which has allowed us to help our local NHS staff and their patients through this very difficult time.

We would like to take this opportunity to thank you all so much for enabling us to do all these wonderful things.

On the following pages we share some of the specific heartwarming stories from the past financial year.

Operational review

Staff fundraising

It has been good to be able to support staff fundraising initiatives in the past year but the following two deserve specific mention
KGH Cancer Support Centre Lead, Danielle Mellows ran 7 marathons in 7 days starting with the iconic London Marathon – raising over £2,500 for the newly opened support centre.

NGH Critical Care team hiked Snowdon in horrendous conditions raising over £5,000 to fund initiatives to enhance care for patients as well as projects that support Urgent Care staff wellbeing.



Events

Curry & Quiz Nights

Our Community Fundraiser, Lorraine Devereux planned and executed two successful curry and quiz fundraisers at The Raj restaurant in Kettering. The first one in October was part of Baby Loss Awareness Week and raised £1,158 for the Twinkling Stars appeal. The second in March raised a further £1,900 for the appeal.



Operational review

Abseil

Our fourth abseil day had rain and shine but most importantly, it had 29 brave participants abseil the 418ft Northampton Lift Tower to raise more than £16,000 for wards and departments across all three Trusts.

This included Lucy Ekins, who works at The Squirrels short breaks centre in Rushden, who raised £710 to support the garden project at the centre for young people with very complex needs and sometimes life limiting disabilities.



Golf day

It was all systems go for events in September. Early in the month we held our sixth annual golf day at Northampton Golf Club, raising just under £6,000 that was split between our two major appeals - Twinkling Stars at KGH and the Children's Outside Play Area appeal at NGH.



Operational review

Skydive



We had 12 daredevils who took on one of the biggest and most white-knuckle of challenges for us. More than £8,500 was raised for a range of areas across all three Trusts including many staff being involved raising money for the children's play area and Twinkling Stars appeals; 17-year-old Tom Cole raised £996 for the NGH Palliative Care team, two years after abseiling the Lift Tower and raising more than £500 for Z-Beds. Calee Litteken a counsellor with the Improving Access to Psychological Therapies at NHFT, raised £543 for the service.

Half Marathon

Exactly one week after the skydive day we had 16 people (including 9 staff from NGH) take part in the 2021 Northampton Half Marathon, all raising money for wards and departments at NGH. You certainly couldn't miss them in our very shiny new and very bright orange charity running shirts. Almost £5,000 was raised supporting Urology, Stroke Unit, Talbot Butler ward and the NGH Bereavement Midwives.



Operational review

Christmas and Light Up A Life

As in previous years, our 2021 Christmas Gifts for Patients appeal was supported well by individuals and local businesses across the county enabling us to provide every inpatient with a gift bag of items on Christmas Day.



Due to the pandemic restrictions in place at the time, our 2021 Light Up A Life was a virtual ceremony once again, with just under 100 people dedicating a light on the Christmas trees at NGH and KGH.



Operational review

Reflections on the past year

Before focusing on specific trust projects, grant funding from NHS Charities together provided the means for the charity to fund the Stronger Together programme. This was targeted at providing a support service for all health and social care staff with their recovery from the impact of the pandemic, particularly with their mental health and wellbeing. The specific messages of appreciation related to this service have been particularly heart-warming and it is pleasing to report that the service has been funded to continue for a further year by NHS England.

NGH

Marianna Carpenter – Laproscopic imaging equipment

Thanks to a very generous donation of **£90,000** to the charity from Marianna Carpenter for Obs & Gynae, a game-changing piece of equipment has been purchased which puts Northampton General Hospital two to three years ahead of all others across the Midlands in terms of the enhanced care that can be provided with a number of different surgeries.

The state-of-the-art Laproscopic equipment reduces the risks of injury and blood loss compared with more invasive procedures as well as reduces recovery time and any associated complications. This also means that where radiotherapy is needed following surgery, it can be started sooner. Use of this innovative technology means better patient outcomes all round.



Operational review

First Swan Room opens at Northampton General supporting end of life care



The first Swan Room opened on Creaton Ward at the end of March, a pilot project led by the Deputy Lead Nurse for Palliative and End of Life Care. The room supports people who are staying with their relative who is receiving end of life care while in hospital. The environment of the room has been totally transformed, offering somewhere that feels more soothing and comfortable for the patient but that will also make a big difference to relatives at this difficult time. With a comfortable memory foam chair, which can be opened out fully to rest/sleep on, there is also a locker housing a number of other resources - bluetooth speaker; phone charger; battery-operated candles; a small comfort pack containing toiletries; and a drinks station so that people can make themselves a hot drink without having to rely on the canteen or ward staff.

There has been a lot of very positive feedback from families so far, many who have subsequently made donations to the charity to help fund other Swan Rooms around the Trust.

On call rooms NGH:

Funded furnishings for all 14 of the on-call rooms at NGH. This means the hospital have been able to provide a more homely environment that will aid better rest and relaxation for those staff working very long shifts. Used mainly by clinical staff as a quiet space where they can get some much-needed sleep when working long hours on call for patients in what is always a very demanding job. The new modern relaxing nature of the rooms will help facilitate a more calming atmosphere for staff who are required to work away from their own home and families.

Charity funding was used to purchase all the comforting and homely extras that enhance the environment for our hardworking NHS staff and help support their own health and wellbeing. The enhanced environment will really help staff rest and relax when they need to during these longer shifts, enabling them to feel ready and alert when being called on to see patients.



Operational review

Colourful artwork for new NGH Children's Emergency department

In September, HRH The Princess Royal officially opened the new Paediatric Emergency Department at NGH. The team heading up the department approached the charity to ask for funding so that they could make the area more welcoming for the children and young people passing through. We were able to fund some stunning and very colourful artwork for the walls throughout the entire area.



They certainly help with making the department appear less clinical and hopefully less daunting for our local young people who need to

visit. The feedback received so far via staff from patients and their parents has been amazing.

NHFT:

Connect and reflect

Using the NHSCT grant funding we were able to support the funding of offsite venues for the highly appreciated Reflect and Reconnect days offered to all teams across the Trust.

Shifting Sands garden

September saw the Shifting Sands garden open at St Mary's Hospital in Kettering. This is a reflective space for the Spiritual Wellbeing department. The space provides a place for patients and staff to find a moment of peace in their day. Shifting Sands describes the feeling of ever-changing circumstances and the need for a bit of solid ground at times of challenge. This reflective space provides this solid ground at St Mary's.

Further to providing the element of peace and restoration, the garden provides an area for patients to explore nature and to plant seeds and experience the process of being creative in nature. There is also a small herb garden area which provides some sensory benefits. The designers of the garden have gone on to be nominated for the Royal Horticulture Society's Young Designer of the Year award basing their showpiece on Shifting Sands.

Operational review



Shed projects

Following the official opening of Shed Sheeran last summer at the Welland Centre, St Mary's Hospital and the continuous positive impact this project has on the recovery of adult mental health patients, the charity is funding a similar shed project to support patients at Berrywood Hospital in Northampton.

This provides a space for volunteers and patients to create bespoke and innovative designs, promoting and aiding recovery by imbuing them with a sense of responsibility and purpose, with the confidence to complete new tasks and celebrate their own achievements. Patients learn new skills, achieving accomplishments and growing their confidence.



Chalk boards and tablets for Short Breaks

Colourful chalk boards for John Greenwood Shipman Centre have not only brightened up the outside area but they also provide a fantastic outside creative activity for children and young people accessing the centre's services.

A small local business donated two tablets to The Squirrels in Rushden, which have made a huge difference to the children. As well as the social aspect, these allow communication apps and devices to give the young people a voice.

Operational review

Bikes, gym and sensory equipment for mental health patients

Enhancing care and activities available to mental health patients on Meadowbank and Wheatfield at Berrywood Hospital in Northampton, we funded bicycles and helmets as well as a range of gym and sensory equipment for the patients to benefit from, increasing the scope of activities available to them. The development of a sensory room has enabled a more therapeutic environment for the patients but also provided benefits for staff too.

Staycation activity packs

With many adults with learning disabilities being unable to take their usual vacations or attend regular meetings due to the ongoing pandemic, the charity has continued to fund Staycation Activity Packs for the Community Team. They aim to provide simple, achievable activity ideas for holidays/festivals at home.

Syringe Drivers

Donation in memory of the husband of one of our long-standing supporters enabled us to fund four brand-new syringe drivers along with the lockable boxes and the protective cases for each. These are for the Northants District Nursing team and they help to safely manage a patient's symptoms at home enabling them to be looked after in their preferred place of care.

KGH

Plum Pumps

Chemotherapy Pumps for Lilford Ward, an infusion pump that allows two streams of medication to be given simulatively within a closed system. These lead to enhanced patient experiences, safer medication administration, less risk of extravasation and reduced cross-infection risk. They also enable improved medication administration timing and mean that due to replacing manual processes with mechanised processes, specialist staff can be retained in post for the benefit of patients and service provision.

Yoga sessions for cancer patients

Thanks to donations from Northamptonshire Sport, we have funded the Stretch into the Future yoga sessions for local cancer patients in Kettering via the Cancer Support and Information Centre.

Yoga can have a significant impact on helping to heal the body and mind during cancer. It's beneficial for patients during and after treatment and can help combat side effects of treatment such as fatigue, insomnia as well as reducing stress and anxiety and building physical strength. As well as promoting physical and mental wellbeing, it offers a chance to meet with other people in a similar situation.

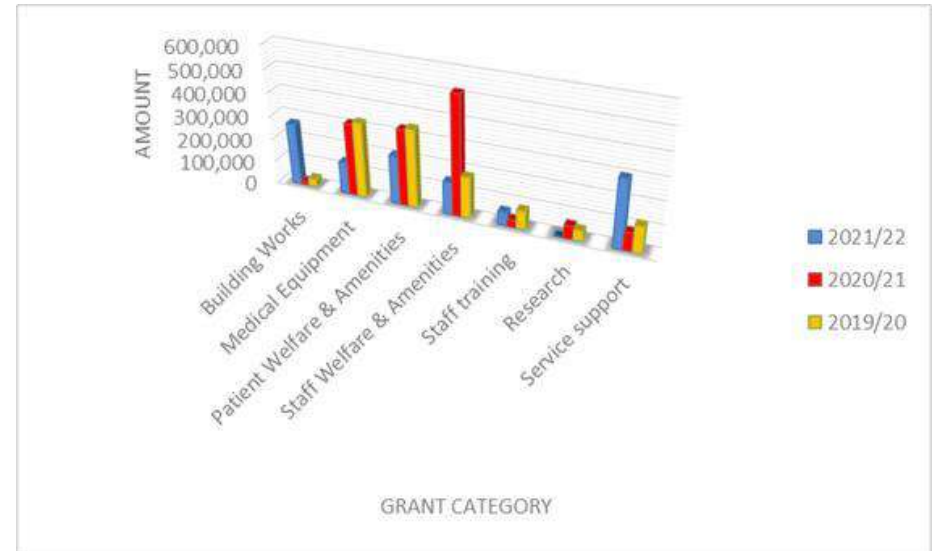
Donation of items from Tesco Corby to SCBU

Following an appeal to their customers, the Corby community donated hundreds and hundreds of items to the KGH Neonatal unit to support parents with premature babies. From nappies to tiny socks, babygrows, creams and so much more. These resources are helping parents who unexpectedly find themselves on the unit with their little one.

Operational review

In summary our expenditure for the year is analysed in the table opposite by category;

- Building work £270k was in respect of refurbishing staff rest facilities and provision of improved outside gardens.
- Patient welfare £210k which was directed towards further easing the effects of covid restrictions on the patient experience .
- Staff welfare £160k which included providing opportunities for staff to pause and reflect along with practical assistance and small gifts of appreciation.
- Direct service support £290k included funding the Stronger together programme.



Future plans

Charity longer term plans

As the charity enters its seventh year of independent operation, we retain our targeted major action plans:

- To make full use of the Springfield grounds as an effective resource for the fundraising activities of the charity
- To work with the operations teams at each trust to develop plans to maximise the benefits of the grant and legacy funds we currently hold
- To fund the R&D initiatives at NGH to support an expansion in research related to diabetes utilising the Lorna Smart legacy
- To seek to maximise fundraising activities across the county in support of all three trusts.

Plans for 2022/23

- To continue to support recovery post the Covid-19 epidemic along with supporting the health and well-being of NHS staff and volunteers
- Further initiatives include the creation of maternity unit bereavement rooms
- Providing grants towards the enhancement of patient care facilities and continuing to support events which offer opportunities for mental health service users to be actively involved
- Continued support to local community care service provision including increased provision of complementary therapy sessions and skills development sessions.
- Continuing the focus on supporting development of the outside areas within the individual Trust sites to be areas for rest, reflection and relaxation.

Introducing our Trustees

Nick Robertson (Chair)

Nick is a Chartered Accountant with a degree in Engineering and Economics from Oxford. He spent most of his career with Royal Dutch Shell, working in many countries, mainly in finance roles but also in general management and HR. His last eight years were as Vice President, Group Risk Management and Insurance. Since leaving Shell he has pursued a number of interests, consulting on risk management with large industrial companies and advising smaller oil and gas companies on financing. He has been a governor of the University of Northampton and a member of the Board at Northampton General Hospital.



Fiona Barr (Nominated by NHFT)

Fiona has devoted her career to working in the public sector. She moved to the health sector in 1999 working for the Department of Health at a national level on NHS-wide behaviour change campaigns. She moved to Yorkshire Ambulance Service in 2007 to help with the merger of three trusts. During her five years at the Trust, she transitioned her career from corporate communications to healthcare governance, being one of the first NHS corporate governance specialists in the country to qualify as a Foundation Trust Company Secretary. Fiona went freelance from 2012 – 2020 working in the NHS as a governance advisor and specialising in turnaround and improvement work. She joined Northamptonshire Healthcare NHS Foundation Trust in April 2020 as its substantive Director of Corporate Governance



Andrew Cockerill

Andrew is a director of investment management company MHA Caves Wealth and has over 30 years' experience of advising on and managing portfolios for private clients, trusts and charities. He was born in Northampton and is still resident in the town.



Introducing our Trustees

Paul Currie

Paul is a Partner at DFA solicitors in Northampton, a full-service law firm that has advised businesses and individuals across the county and beyond for over 180 years.

Paul advises on a variety of clients, from FTSE 100 companies, to small start-up businesses and private individuals, on a wide variety of commercial and civil disputes. As well as dealing with the full range of contract claims, company & partnership disputes, and regulatory matters, Paul also advises clients in relation to public procurement disputes and issues relating to defamation and brand protection.



David Latham

David is a solicitor by qualification and his final full-time role was as President of the Employment Tribunals in England and Wales from which he retired in 31 March 2014. He has now retired as an Independent Board Member and Chair of Northampton Partnership Homes. He will retire as a member of the Determinations Panel of The Pension Regulator on 30th September 2022 having been a member since 1st April 2014.

He has been an active member of Rotary for over 20 years, and was president of the Northampton Club in 2015/16 where he first made contact with NHCF as an active fundraiser.



Introducing our Trustees

Richard Marsh

Richard trained in anaesthetics and intensive care medicine in London, Oxford and Glasgow. He was a Consultant in Anaesthesia and Critical Care at Northampton General Hospital from 1983 to 2011, and from 1994 was the Clinical Director of Intensive Care. He was also Regional Adviser for Anaesthetics for the Oxford Region and Chairman of the Northampton Medical Staff Committee.

Since retirement he has been a member of the management committee of the Royal Cruising Club Pilotage Foundation responsible for the website and database of international pilotage information for yachtsmen. He has also served as chair of Ravensthorpe Parish Council.

Richard has a particular interest in the history of medicine and nautical history and has recently become a trustee of the Hakluyt Society.



Liz Nicholls

Liz spent the majority of her career in FMCG and retail with leading names in the industry. She has delivered marketing campaigns and in-store events to millions of customers, working with big brands and well-known international companies. Following this, Liz set up and ran her own consulting business, providing strategic advice to suppliers and leading management consultants in the UK, Europe and Australia. She now splits her time between her work as a barrister and managing her consulting and sustainability companies. Liz lives in Northamptonshire with her husband and their son, who was born in the Barratt Maternity Home at Northampton General Hospital.



Introducing our Trustees

Sushel Ohri

Sushel has over 30 years' experience in diverse roles within local government, including social work, equalities, and policy and strategy.

Sushel has over a decade's experience at chief officer level, working with politicians and corporate policy initiatives. In addition, he has 7 years' experience as a non-executive director of NHFT including 12 months as deputy chair.



Jill Houghton (Nominated by NGH)

Jill has had considerable experience across all sectors of healthcare, at board level, with a clinical background in nursing, midwifery and health visiting. A Quality Improvement (QI) Fellow, having trained with the international Institute for Health Improvement and experience of applying this methodology across the East of England as Clinical Lead of Maternity and Neonatal Services for an Academic Health Science Network. Currently, Jill is a Non Executive Director (NED) at Northampton General Hospital NHS Trust (NGH) and is the NED Maternity & Neonatal Safety Champion for NGH



Judit Seymour (Nominated by NHFT)

Judit started her professional career as a commercial barrister and later transitioned into risk management in financial services. Her experience ranges from working with rating agencies, investment banks, monoline insurance companies and progressing to the C-suite. As her children were growing up, she decided to refocus her career on building a non-executive portfolio of roles with organisations in the public (NHS, emergency services) and third sectors (HE/FE and legal services). She currently holds a number of non-executive roles, including at Northamptonshire Healthcare Foundation Trust and as Vice-Chair at University of Bedfordshire. Judit lives in Bedford with her husband and two dogs.



Introducing our Trustees

Mark Smith (Nominated by KGH)

Mark has held a number of roles in Human Resources within the NHS since 2004 and prior to this, held roles within the private sector.

Mark joined KGH in June 2014 with the lead responsibility for workforce management. Mark was appointed as Chief People Officer for KGH and NGH in September 2019, as part of the emerging collaboration initiative between the two organisations. He has taken an active role in supporting the inclusion of the KGH Charitable Fund into NHCF.



Phil Zeidler

Phil had a successful career as an entrepreneur in financial services, building a number of businesses, including the largest independent outsourced distributor of general insurance in the UK. He went on to Chair several other insurance businesses, a music fund and two consultancies specialising in strategy and change management, and was also Non Executive Director of NGH for 10 years. His core skills lie in strategic planning, innovation and developing strategic relationships. He is married to a consultant paediatrician.



Charity staff team

Keith Brooks – Charity Director



Keith joined NGH as Financial Controller in 2004 and retired from the role in 2016 to become the Charity Director after guiding the charity through adoption of independent status. Prior to this his earlier career was linked to the Rail industry in which he was actively involved in supporting the changes leading up to the industry's privatisation.

Keith's early third sector involvement was with Christian based charities, primarily in Methodist Church related roles, which currently includes him being the Northampton Methodist Circuit Treasurer.

Keith was elected to be a Council member of NHS Charities Together in 2013 and has been its Treasurer since 2016.

Alison McCulloch – Head of Fundraising



Since graduating in Business at Salford University, Alison worked as a qualified mortgage advisor from 2003. In 2010, Alison joined the charity as a Community Fundraiser for Northampton General Hospital where her key responsibility was raising the profile of the charity within local community and throughout the trust. Three of her key successes during this time was improving the environment of the hospital, improving the quality of technology used throughout the trust and developing a new joint charity with Northamptonshire Healthcare Foundation Trust. All of this has culminated in progressing to the Head of Fundraising, leading a great fundraising team to drive the charity forward. Outside of work, Alison loves nothing more than spending time with her friends and family and walking her miniature schnauzer Zach.

Caroline Donaghy – Charity Accountant



Caroline has worked for the charity for nearly 10 years. Successfully completing her final level four AAT in 2019, she now manages the daily and monthly finances of the charity; as well as grant purchases with both Trusts. Caroline previously worked in the insolvency sector but wanted a job where she could work in finance and feel like she was helping to make a difference at the same time.

Caroline enjoys spending time outdoors camping, gardening and walking her beagle Bentley. She also loves crafts such as sewing and making lace.

Charity staff team

Louise Abarak – Charity Accountant



Louise joined the charity initially as maternity cover but is now employed on a permanent basis following the continued growth of the charity. With over 10 years of experience working in finance, she is excited to share her knowledge and experiences she has picked up along the way with the Charity. Louise is a natural at streamline processes and thinks outside of the box to bring valuable solutions. Louise is environmentally conscious and enjoys spending time with her family.

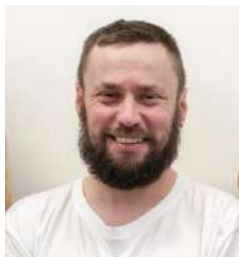
Christina Kelly



Christina has worked within the NHS charity sector for over 10 years, having joined KGH Charity Fund in 2009 before it merged with Northamptonshire Health Charity. Prior to this, her background was within sales and marketing, and she has always been passionate about supporting public services, working for 5 years as a Special Constable., Christina has developed a wealth of experience both in fundraising and also in developing strategies, policies and procedures. Within her role now, she largely focuses on impact reporting, operational development projects and assists with governance objectives.

Christina has a love and appreciation of nature and the elements. In her spare time she enjoys the outdoors (in any weather) and caravanning with her family at coastal locations around the UK.

Robert Powell – Charity Fundraiser Communication & Marketing



Rob joined the team in November 2017 as administrator and fundraising support coming from a non-charity background. After 2 years coordinating fundraisers and supporters as well as helping with appeals as Community Fundraiser, he now leads with all of the communications and marketing for the charity, including social media, website, NHS trust intranet content, staff newsletters, local print publications and more. Rob really enjoys being able to share the wonderful stories of our donors and fundraisers and spreading the word about the huge difference the charity makes for both patients and staff across the local NHS Trusts.

In his own time, Rob is a big lover of books, reading a wide variety of genres and having what feels like his own library at home. He is also a huge fan of genre spanning music and gets out to a live music event pretty much every week where he can. He also used to produce and present a music-focussed community radio show.

Charity staff team

Lorraine Devereux - Community Fundraiser



Lorraine joined the charity in April 2021 as Community Fundraiser and brings 7 years of fundraising and volunteering experience to the team. Her last role was as Corporate and Events Fundraiser for a national learning disability charity where she was awarded the Institute of Fundraising Rising Star Award for the Chilterns region in 2019.

In her spare time, she is a keen runner, often lacing up and taking part in events to raise money for her local hospice and other local charities. Friends, family and spending time together is important and nothing better is time spent with them in her favourite place, beautiful Croyde Bay in Devon.

Michelle Leighton - Community Fundraiser



Michelle joined the team in May 2021 from Brighter Futures the charity of the Great Western Hospital in Swindon where she had worked for 2 and a half years. She has a great wealth of charity experience having been employed by Nationwide Building Society for over 20 years in the Community Affairs Team, supporting charities nationally and promoting fundraising within the workforce. In 2014 Michelle joined the Events Team at Cancer Research UK organising Race for Life and other mass participation events for 5 years before taking up her role at Brighter Futures.

In her own time Michelle enjoys long distance running and has completed 20 marathons. She enjoys socialising with family and friends and hosting dinner parties.

Justine Cullimore – Charity Administrator



Justine's connection with NGH started in 2008 when she started volunteering for "the Friends of NGH" when her children were very young. From there she was employed part time by "the Friends" charity to help with the smooth running of the charity including fundraising and supporting the volunteers. Since 2019 Justine has worked in several Departments within the hospital providing administrative support. As the newest member of the team, Justine joined the charity in March 2022 as our Charity Administrator and has fitted perfectly into the family. In her free time, she enjoys tennis, country walks, cooking, and spending time with her husband and 16 year old twin boys.

Charity staff team

The team would love to hear from anyone wishing to support the charity, please contact us on:

Telephone 01604 626 927 Email greenheart@nhcf.co.uk

Or visit our website www.nhcfgreenheart.co.uk to see the latest news and information.

Governance arrangements

Basis of preparation and legal framework

The Trustees of Northamptonshire Health Charitable Fund present the Charitable Funds' Annual Report together with the Audited Financial Statements for the year ended 31 March 2022.

The Charity's annual report and accounts for the year ended 31 March 2022 have been prepared in accordance with the Charities Act 2011 and the Charities Statement of Recommended Practice 2015 as applicable to the Financial Reporting Standard 102.

The Charity's report and accounts include all the separately established funds for which Northampton General Hospital and Northamptonshire Healthcare NHS Foundation Trust are the joint beneficiaries.

Full Name of Charity: Northamptonshire Health Charitable Fund

Registration Charity Number: 1165702

The principal office is at: Springfield
Cliftonville
Northampton
NN1 5BE

The trustees are supported by Fund advisors drawn from the two health organisations who provide expert advice with regard to grant making decisions and are co-ordinated through the working of formally constituted sub committees for each trust.

The advisors and administrators used by the Charity during 2021/22 were:

Bankers Lloyds Bank
2 George Row, Northampton

Natwest Bank
16 High Street Kettering

Solicitors DFA Law
2 Waterside Way, Northampton

Auditors Hawsons
Jubilee House, 32 Duncan Close,
Moulton Park, Northampton NN3 6WL

Investment Managers
Black Rock
33 King William Street
London EC4R 2AS

Ruffer AIFM Ltd
Darlington DL1 9RN

The accounting records and the day-to-day administration of the funds are undertaken by the Charity team located at Springfield, Cliftonville, Northampton NN5 1BE.

Mr Keith Brooks acted as the principal officer overseeing the overall financial management and accounting for the Charity. Day to day management was vested with the Charity Accountants Mrs Caroline Donaghy and Mrs Louise Abarak.



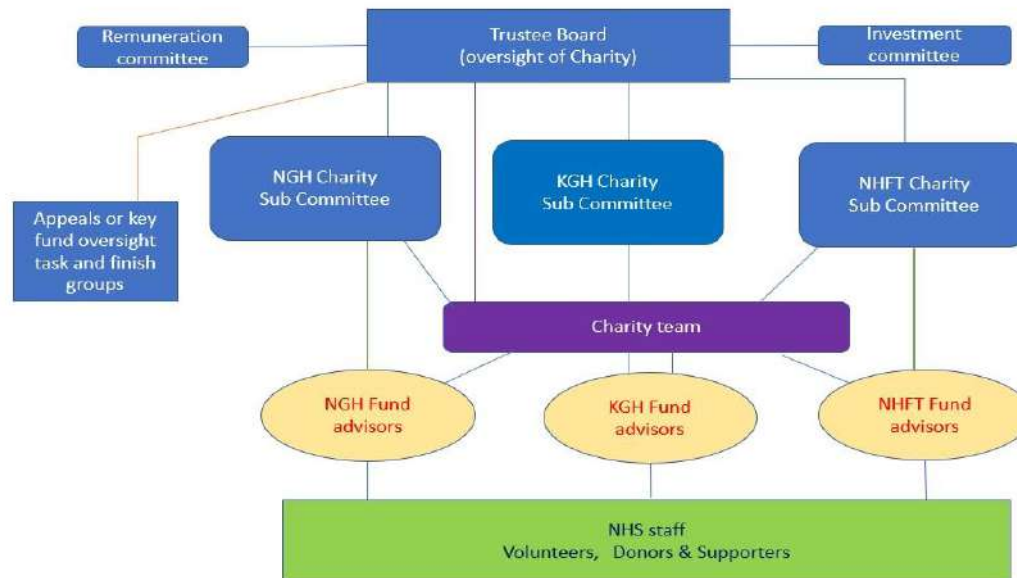
Governance arrangements

Structure, Governance and Management

The Charity is registered as a Charitable Incorporated Organisation, the fund balances of the previous NHS charities being transferred in by way of a Transfer deed such that all previous designations and restrictions remained in place. New donations and gifts received by the charity that are attributable to the original funds are added to those fund balances.

The Charity fulfils its legal duty by ensuring that funds are spent in accordance with the objects of the fund. By designating funds, the trustees respect the wishes of our generous donors to benefit patient care and advance the good health and welfare of patients, carers and staff of specific units across the county. Where funds have been received which have specific restrictions set by the donor and will not be readily complied with by using an existing fund, a new restricted fund is established and used for that purpose only. The endowment funds of the charity include the permanent endowment from the estate of Constance Travis, income from which is used to support specific departments in line with her wishes.

The working arrangements of our overall governance framework are reflected below



Governance arrangements

Trustees

There will usually be fourteen trustees overseeing the activities of the charity, of whom two will be nominated by NGH, NHFT and KGH, but there must be at least seven independently appointed trustees. Every charity trustee will be appointed for a term of up to four years by a resolution passed at a properly convened meeting of the charity trustees. An outgoing charity trustee may be re-appointed but no individual may serve as a charity trustee for more than 10 years in total unless the charity trustees consider there to be exceptional circumstances which justify a longer term.

In selecting individuals for appointment as trustees, the existing charity trustees will have regard to the skills, knowledge and experience needed for the effective administration of the CIO.

Newly appointed trustees are provided with information about the charity, including the trustees' annual report and accounts, budgets, policies and minutes, and information about trusteeship. The Chair gives new trustees a briefing on the current policies and priorities for the charity and ensures any additional training that their role may require is also offered.

During the year the following trustees came to the end of their term of service: Deborah Needham, and John Archard-Jones.

Charity staff team

The charity employs a team of eight staff undertaking the full range of roles to ensure that both fundraising and grant making are effective while also undertaking all the necessary administrative activities of the charity and most importantly maintaining links with our valued donors.

Charitable Funds Sub Committees

Acting for the trustees, the Sub Committees are responsible for providing local advice and oversight and are required to:

- Oversee the nomination of fund advisors for each fund related to the organisation and annually review those nominations.
- Determine the strategy regarding utilisation of charitable funds and to communicate this to nominated fund advisors.
- Establish and oversee a business plan for the spending of the charitable funds for each financial year, ensuring there is sufficient funding in place to cover at least the year's planned expenditure while being aware of the need to retain appropriate reserves.
- Monitor income, expenditure and balance's of the fund's, ensuring the funds are operating within available resources through receiving reports relating to the financial activity on each fund.
- Ensure that requests for funding meet the purpose of the charity and approve expenditure above individual advisors limits up to the value of £25,000 and recommend schemes for approval above this level to the main charity trustees.
- Review requests for usage of the charity's general or un-earmarked balances and determine which, if any, shall be recommended for consideration.
- Identify and endorse proactive fundraising initiatives and commit resources as appropriate.

Governance arrangements

Fund advisors

Fund Advisors are drawn from the senior staff within each Trust. There are normally two or more fund advisors for each specific fund. The advisor is expected to oversee grant requests from their part of the organisation ensuring they are appropriate and have received the necessary approvals according to the Trust's internal control procedures. Fund advisors are also required to review donations received and identify ways that the gifts can be utilised to support their department working with their teams. They are also asked to ensure that impact statements are produced demonstrating benefit from recent charity purchases.

Fundraising arrangements

The fundraising team organise fundraising events and co-ordinate the activities of our supporters both in the hospital and in the wider community on behalf of the Charity. We do not currently use professional fundraisers or involve commercial participators. There have been no complaints about fundraising activity this year

In August 2019 the charity registered with the Fundraising Regulator showing we are committed to following its Code of Fundraising Practice. Our guidance and working practices are in line with the guidance they issue. Volunteer fundraisers are given support in compliance through our fundraising agreement documentation.

We currently do not follow a policy of distributing direct marketing material to previous donors.

Volunteers

The charity has a specific team of volunteers who undertake a care and maintenance role in relation to the charity's historical archive.

Public Benefit Test

In accordance with the 2011 Charities Act, the Trustees have ensured that due regard has been taken to ensure that all expenditure incurred has met the Public Benefit test.

Risk Management

The major risks to which the charity is exposed have been identified and considered.

They have been reviewed and systems established including holding a formal risk register which is subject to review on a quarterly basis by the trustees and includes reference to mitigating actions.

Reserves Policy

The Charity principally receives income into the funds from donations and legacies, augmented with active fund raising. This is considered not to be part of the free reserves of the charity, as they are available to spend at the request of the fund advisors.

Where there is a large restricted fund, usually as a result of a legacy, these funds are not considered to form part of the reserve value calculation, but will be held until formal spending plans are agreed.

Accumulated investment gains are retained as reserves to cover 2 years charity operating costs, whilst also acting as a centrally held fund to protect against a fall in investment values equivalent to 10% of the current value of the investment portfolio. The higher of these values is considered as the minimum level of free reserves the charity should plan to hold.

Governance arrangements

Investment Strategy

The investment funds are pooled, that is, not allocated to specific funds but consolidated currently into two portfolios. This reduces the costs of administration, diversifies some of the risk in investing and reduces necessary balances held in cash.

The constitution of the charity permits the investment of funds, employment of a professional fund-manager, and arrangement for the investments or other property of the CIO to be held in the name of a nominee, in the same manner and subject to the same conditions as the trustees of a trust are permitted to do by the Trustee Act 2000.

The trustees when agreeing investment decisions refer to the policy which looks to obtain satisfactory financial returns from investments while seeking to minimise the risk to the capital base of the charity. This is considered to be a low risk approach.

There is also a specific limitation that investments should not be held in companies whose business is mainly or significantly concerned with the tobacco industry.

Partnership Working and Networks

NGH, KGH and NHFT are the main beneficiaries of the charity. By working in partnership with the Trusts, the charity seeks to use its available funds to best effect. When deciding upon the most beneficial way to use charitable funds, the trustees have regard to the main activities, objectives, strategies and plans of the Trusts subject to such use meeting the objectives of the charity.

We particularly seek to encourage and support volunteering as a way of enhancing the patient experience within both trusts.

Wider partnerships are also very important to the charity. Throughout the year working relationships have been formed with members of the corporate sector, the local community and membership organisations as well as individuals. Thanks must go to all those who have made donations to the charity.

Statement of trustees' responsibilities

Under charity law, the Trustees are responsible for preparing the annual report and the financial statements for each financial year which show a true and fair view of the state of affairs of the charity and of the excess of income over expenditure for that period.

In preparing these financial statements, generally accepted accounting practice entails that the trustees:

- Select suitable accounting policies and then apply them consistently;
- Make judgments and estimates that are reasonable and prudent;
- State whether the recommendations of the Statement of Recommended Practice have been followed, subject to any material departures disclosed and explained in the financial statements;
- State whether the financial statements comply with the charity objectives, subject to any material departures disclosed and explained in the financial statements;
- Prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities.

The Trustees are required to act in accordance with the constitution of the charity, within the framework of trust law. The Trustees are responsible for keeping proper accountinrecords, sufficient to disclose at any time, with reasonable accuracy, the

financial position of the charity at that time, and to enable the trustees to ensure that, where any statements of accounts are prepared by the Charity under section 132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of regulations under that provision. The Trustees have general responsibility for taking such steps as are reasonably open to them to safeguard the assets of the charity and to prevent and detect fraud and other irregularities.

Signed on behalf of the trustees:

Nick Robertson

Date:

Statement of Financial Activities

	Note	Unrestricted Funds		Restricted Funds		Endowment Funds		Total Funds	
		2020/21	2021/22	2020/21	2021/22	2020/21	2021/22	2020/21	2021/22
		£000	£000	£000	£000	£000	£000	£000	£000
Income and endowments from:									
Donations & Grants	3	1,031	618	593	612	0	0	1,624	1,230
Legacies	3	396	331	0	0	0	0	396	331
Fundraising & trading activities	4	104	147	1	30	0	0	105	177
Investments	15	48	52	0	0	27	44	75	96
Total incoming resources		1,579	1,148	594	642	27	44	2,200	1,834
Expenditure on:									
Raising funds	5	185	288	1	9	0	0	186	297
Historical archive running costs		1	1	0	0	0	0	1	1
Charitable activities	6, 7								
• Medical equipment		330	140	23	19	0	0	353	159
• Direct service funding		81	145	8	197	0	0	89	342
• Building Works		1	77	12	231	0	0	13	308
• Patient Welfare & Amenities		205	178	173	65	0	0	378	243
• Staff Welfare & Amenities		387	117	192	46	0	0	579	163
• Research		0	0	70	0	0	0	70	0
• Staff training		32	46	1	21	0	0	33	67
Total resources expended		1,222	992	480	588	0	0	1,702	1,580
Revaluation of investment buildings	12	(5)	9	0	0	0	0	(5)	9
Net gains/(losses) on investments	14	211	127	167	7	0	0	378	134
Net income/(expenditure)		563	292	281	61	27	44	871	397
Transfers between funds	9	(215)	44	242	(20)	(27)	(24)	0	0
Net Movement in funds		348	336	523	41	0	20	871	397
Reconciliation of Funds									
Total Funds brought forward	18	2,642	2,990	1,367	1,890	1,166	1,166	5,175	6,046
Total Funds carried forward	18	2,990	3,326	1,890	1,931	1,166	1,186	6,046	6,443

Statement of Financial Position

	Note	Unrestricted Funds		Restricted Funds		Endowment Funds		Total Funds	
		2020/21 £000	2021/22 £000	2020/21 £000	2021/22 £000	2020/21 £000	2021/22 £000	2020/21 £000	2021/22 £000
<i>Fixed assets:</i>									
Operational equipment	12	281	366	0	0	0	0	281	366
Investment Land & Buildings	12	212	459	0	0	797	797	1009	1256
Heritage assets	13	0	0	0	0	369	389	369	389
Investments	14	1,211	1,358	1,516	1,503	0	0	2,727	2,861
Total Fixed Assets		1,704	2,183	1,516	1,503	1,166	1,186	4,386	4,872
<i>Current assets:</i>									
Stock		4	5	0	0	0	0	4	5
Debtors	16	453	340	0	0	0	0	453	340
Cash and cash equivalents	16	1,045	862	440	467	0	0	1,485	1,329
Total Current Assets		1,502	1,207	440	467	0	0	1,942	1,674
<i>Liabilities:</i>									
Creditors falling due within one year	17	216	64	66	39	0	0	282	103
Net Current assets/(liabilities)		1,286	1,143	374	428	0	0	1,660	1,571
Total net assets		2,990	3,326	1,890	1,931	1,166	1,186	6,046	6,443
The funds of the charity:									
Endowment funds	18	0	0	0	0	1,166	1,186	1,166	1,186
Restricted income funds		0	0	1,890	1,931	0	0	1,890	1,931
Unrestricted income funds		2,990	3,326	0	0	0	0	2,990	3,326
Total charity funds		2,990	3,326	1,890	1,931	1,166	1,186	6,046	6,443

Cash flow Statement

Cash generated from operations: -

	Total Funds	Total Funds
	2020/21	2021/22
	£000	£000
Net income/(expenditure) as per the statement of financial activities	871	397
Adjustments for:		
Depreciation of assets	6	10
(Gains)/losses on investments	(373)	(144)
Dividends and interest	(48)	(52)
(Increase)/decrease in stock	1	(1)
(Increase)/decrease in debtors	217	113
Increase/(decrease) in creditors	8	(179)
Net cash provided by (used in) operating activities	682	144

Change in cash holding

	Total Funds	Total Funds
	2020/21	2021/22
	£000	£000
Cash flows from operating activities:		
Net cash provided by (used in) operating activities	682	144
Cash flows from investing activities:		
Dividends and interest	48	52
Proceeds from the sale of investments	0	0
Purchase of investments	(450)	0
Investment in statue	0	(20)
Investment in property & equipment	(98)	(332)
Net cash provided by (used in) investing activities	(500)	(300)
Change in cash and cash equivalents in the reporting period	182	(156)
Cash and cash equivalents at the beginning of the reporting period	1,303	1,485
Change in cash and cash equivalents due to exchange rate movements	0	0
Cash and cash equivalents at the end of reporting period	1,485	1,329

The notes on pages 37 to 50 form part of these accounts

Notes on the accounts

1. Accounting Policies

(a) Basis of preparation

The financial statements have been prepared under the historic cost convention, with the exception of investments and investment properties which are included at market value. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2015) and the Charities Act 2011.

The trustees consider that there are no material uncertainties about the charity's ability to continue as a going concern and that there are no material uncertainties affecting the current year's accounts.

(b) Prior period adjustments

The previous years accounts have been restated to include the Kettering General Hospital charity which became part of NHCFC by formal merger on the 1st April 2021.

A schedule showing the restatement is included as Note 19.

(c) Funds structure

The Endowment funds have two distinct elements, firstly being the recognised value of the collection of heritage assets and related art work, secondly the recognition of the funds available from the Constance Travis legacy which has been bequeathed as a permanent endowment for the benefit of NGH.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by the donor.

Unrestricted income funds comprise those funds which the trustees are free to use for any purpose in furtherance of the charitable objects of the identified special purpose fund. Unrestricted funds include designated funds, where the donor has made known their non-binding wishes or where the trustees, at their discretion, have created a fund for a specific purpose.

(d) Incoming resources

All incoming resources are recognised once the charity has entitlement to the resources, and it is probable that the resources will be received and the monetary value of incoming resources can be measured with sufficient reliability.

(e) Incoming resources from legacies

Legacies are accounted for as incoming resources either upon receipt or where the receipt of funds in relation to the legacy is probable; this will be once confirmation has been received from the representatives of the estate(s) that probate has been granted, and that the executors have established that there is sufficient assets in the estate to pay the legacy and once all conditions attached to the legacy have been fulfilled.

(f) Resources expended

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to each category of expense shown in the Statement of Financial Activities. Expenditure is recognised when the following criteria are met:

- There is a present legal or constructive obligation resulting from a past event
- It is more likely than not that a transfer of benefits (usually a cash payment) will be required in settlement

Notes on the accounts

- The amount of the obligation can be measured or estimated reliably.

Irrecoverable VAT is charged against the category of resources expended for which it was incurred. Contractual arrangements are recognised as goods or services as supplied.

The trustees have control over the amount and timing of grant payments and consequently where approval has been given by the trustees and any of the above criteria have been met, then a liability is recognised. Grants are not usually awarded with conditions attached.

However, when they are, then those conditions have to be met before the liability is recognised.

Where an intention has not been communicated, then no expenditure is recognised but an appropriate designation is made in the appropriate fund. If a grant has been offered but there is uncertainty as to whether it will be accepted or whether conditions will be met, then no liability is recognised but a contingent liability is disclosed.

(g) Allocation of support costs

Support costs are those costs which do not relate directly to a single activity. These include some staff costs, costs of administration, audit costs and IT systems costs. Support costs have been apportioned between Charitable Activities and Fundraising Costs on an appropriate basis.

(h) Fundraising costs

The costs of generating funds include both lottery prizes and incidental fundraising expenses incurred to support fund raising activities and the staff costs of the Fundraising team. Separately

identifiable Investment Management fees are also considered as a cost of raising funds.

(i) Charitable activities

Costs of charitable activities comprise all costs incurred in the pursuit of the charitable objects of the charity. These costs comprise direct costs and an apportionment of overhead and support costs as shown in note 6.

(j) Tangible fixed asset for use by the charity

Tangible fixed assets are capitalised if they can be used for more than one year, and cost at least £500. Depreciation is calculated on a straight-line basis over the determined life of the asset.

The cost of setting up the charity office facility has been treated as a fixed asset and is being amortised at a rate of one percent per annum.

Mixed use property

In 2017 the charity purchased Springfield, a building adjacent to the NGH site who are leasing the majority of the building and utilising it as an Urgent Care centre along with related office space. Part of the building however has been retained for the use of the charity as its operational base with commencement of usage at the end of March 2018.

The mixed use property has been separated in the accounts between investment property and property, plant and equipment. The investment property element of the building is included in the Statement of Financial Position at its fair value. The surplus or deficit on the annual revaluation of the investment property element is recognised in the Statement of Financial Activities. Depreciation is not provided in respect of the investment property element.

Notes on the accounts

The operational element of the building is included in Property, Plant and Equipment. It is stated in the statement of financial position at cost, less any subsequent accumulated depreciation and subsequent accumulated impairment losses.

(k) Fixed asset investments

Investments are a form of basic financial instruments. Fixed asset investments are initially recognised at their transaction value and are subsequently measured at their fair value (market value) as at the balance sheet date. The Statement of Financial Activities includes the net gains and losses arising on revaluation and disposals throughout the year. Quoted stocks and shares are included in the statement of financial activities at the current market value quoted by the investment analyst, excluding dividends. The Common Investment Fund Units are included in the statement of financial activities at the closing dealing price at 31 March 2022.

(l) Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening carrying value (purchase date if later). Unrealised gains and losses are calculated as the difference between the market value at the year end and opening carrying value (or purchase date if later).

(m) Pensions

The charity provides a Defined contribution pension scheme through NEST with contribution rates set at 6% for both the employee and employer. Contributions are recognised in the SOFA in the period in which they become payable in accordance with the rules of the scheme.

The employees who were TUPE transferred to the charity on 1 April 2016 and 1 April 2021 are entitled to remain members of the NHS Pensions Scheme.

Details of the benefits payable and rules of the Schemes can be found on the NHS Pensions website at www.nhsbsa.nhs.uk/pensions. Both are unfunded defined benefit schemes that cover NHS employers, GP practices and other bodies, allowed under the direction of the Secretary of State for Health and Social Care in England and Wales. They are not designed to be run in a way that would enable NHS bodies to identify their share of the underlying scheme assets and liabilities. Therefore, each scheme is accounted for as if it were a defined contribution scheme: the cost to the NHS body of participating in each scheme is taken as equal to the contributions payable to that scheme for the accounting period.

In order that the defined benefit obligations recognised in the financial statements do not differ materially from those that would be determined at the reporting date by a formal actuarial valuation, the FReM requires that “the period between formal valuations shall be four years, with approximate assessments in intervening years”. An outline of these follows:

(i) Accounting valuation

A valuation of scheme liability is carried out annually by the scheme actuary (currently the Government Actuary's Department) as at the end of the reporting period. This utilises an actuarial assessment for the previous accounting period in conjunction with updated membership and financial data for the current reporting period, and is accepted as providing suitably robust figures for financial reporting purposes. The valuation of the scheme liability as at 31 March 2022, is based on valuation data as 31 March 2021, updated to 31 March 2022 with

Notes on the accounts

summary global member and accounting data. In undertaking this actuarial assessment, the methodology prescribed in IAS 19, relevant FReM interpretations, and the discount rate prescribed by HM Treasury have also been used.

The latest assessment of the liabilities of the scheme is contained in the report of the scheme actuary, which forms part of the annual NHS Pension Scheme Accounts. These accounts can be viewed on the NHS Pensions website and are published annually. Copies can also be obtained from The Stationery Office.

(ii) Full actuarial (funding) valuation

The purpose of this valuation is to assess the level of liability in respect of the benefits due under the schemes (taking into account recent demographic experience), and to recommend contribution rates payable by employees and employers.

The latest actuarial valuation undertaken for the NHS Pension Scheme was completed as at 31 March 2016. The results of this valuation set the employer contribution rate payable from April 2019 to 20.6% of pensionable pay.

The 2016 funding valuation also tested the cost of the Scheme relative to the employer cost cap that was set following the 2012 valuation. There was initially a pause to the cost control element of the 2016 valuations, due to the uncertainty around member benefits caused by the discrimination ruling relating to the McCloud case.

HMT published valuation directions dated 7 October 2021 (see [Amending Directions 2021](#)) that set out the technical detail of how the costs of remedy are included in the 2016 valuation process. Following these directions, the scheme actuary has completed the cost control element of the 2016 valuation for the NHS Pension Scheme, which concludes no changes to benefits or member contributions are required.

The 2016 valuation reports can be found on the NHS Pensions website at <https://www.nhsbsa.nhs.uk/nhs-pension-scheme-accounts-and-valuation-reports>.

(n) Taxation

The charity is considered to pass the tests set out in Paragraph 1 Schedule 6 Finance Act 2010 and therefore it meets the definition of a charitable trust for UK income tax purposes. Accordingly, the charity is potentially exempt from taxation in respect of income or capital gains received within categories covered by Part 10 Income Tax Act 2007 or Section 256 of the Taxation of Chargeable Gains Act 1992, to the extent that such income or gains are applied exclusively to charitable purposes.

(o) Heritage Assets of the Charity

The Heritage Assets of the charity have been collected since 1790 and consist of a large collection of historical books, case ledgers, journals, paintings, photographs, commemorative plaques, early orthopaedic implants, medical equipment and instruments.

A number of the large portraits are currently displayed in the NGH Board Room and other meeting rooms across the hospital. The rest of the collection is in the archive room, adjoining the NGH Board Room. The archive rooms are open for viewing each Wednesday by appointment, subject to any NGH Trust visiting restrictions.

The remaining items are currently securely stored at the Charity offices

Care of the archive is undertaken by volunteers.

Notes on the accounts

2. Related party transactions

Northamptonshire Healthcare NHS Foundation Trust, Kettering General Hospital NHS Foundation Trust and Northampton General Hospital NHS Trust are the three main beneficiaries of the charity.

The charity has provided funding to these NHS Trusts for approved expenditure made on behalf of the charity. This funding amounted to £1,117K (2020/21 £1,388k) and is included in the total grant making costs of £1,281k (2020/21 £1,515k including KGH) as detailed in notes 7 & 8.

Northampton General Hospital and Kettering General Hospital provide support services to the charity including a cashiering and cleaning service.

The amounts charged are on a full direct cost recovery basis.

During the year none of the Board members of either NHS Trust Board or parties related to them were beneficiaries of the charity.

Notes on the accounts

3. Analysis of voluntary income

	2020/21			2021/22
	NHCF £000's	KGH £000's	Total £000's	£000's
Donations over £5k	123	-	123	510
Other donations	249	216	465	481
Legacies	176	219	395	331
Donated items	71	-	71	31
ANHSC grants	391	303	694	165
Grants	272	-	272	43
Total	1,282	738	2,020	1,561

Significant items included:-

- Donations of £350k, £40k and £20k from the Crazy Hats charity on its closure for specific projects at KGH and NGH.
- £90k from The Brackley community hospital trust for provision of an X-ray machine for the new community facility
- £105k from the estate of Audrey Farr for the KGH Coronary Care Fund
- £90k from the estate of Barbara Knight for the benefit of NGH
- £165k in total was received from the NHS Charities together appeal funds
- £35k was received from the Pears foundation to support the NGH volunteers organisation.

4. Incoming resources from charitable fundraising activities

Events income relates to both events promoted directly by the charity and individuals raising funds through specific events they have organised in the name of the charity.

The local staff lotteries generated £62k (2020/21 NHCF £33k and KGH £28k), a net of £34k after deducting prize money. (The 2020/21 lottery income was the only KGH identified fundraising income.)

	2020/21 £000's	2021/22 £000's
Events income	42	113
Lottery	61	62
Berrywood shop	2	2
Total	105	177

Notes on the accounts

5. Analysis of fundraising costs

	2020/21			2021/22
	NHCF £000's	KGH £000's	Total £000's	Total £000's
Staff costs	103	23	126	182
Thankfest fundraising	0	0	0	38
Event costs	5	0	5	12
Marketing materials	16	0	16	20
Other costs	5	0	5	18
Lottery prizes	20	14	34	27
	<u>149</u>	<u>37</u>	<u>186</u>	<u>297</u>

6. Analysis and allocation of support costs and overheads

Total support and overhead costs of the charity excluding fundraising was £165k (2020/21 £143k).

Support cost analysis	2020/21			2021/22
	NHCF £000's	KGH £000's	Total £000's	Total £000's
Salaries and related costs	55	12	67	86
Building running costs	11	0	11	12
IT costs	13	0	13	23
External Audit fees	8	10	18	12
Legal/Professional fees & services	9	0	9	13
Depreciation	6	0	6	9
Training costs	1	0	1	1
Brought in services from trust	2	10	12	0
Other office costs	5	1	6	9
Total	110	33	143	165

Notes on the accounts

The overhead costs have been apportioned over the primary activity lines on the statement of financial activities as tabulated below:

Overhead Apportionment	2021/22			2020/21		
	Unrestricted	Restricted	Total	NHCF	KGH	Total
	£000's	£000's	£000's	£000's	£000's	£000's
Medical equipment	18	2	20	27	6	33
Staff amenities	15	6	21	30	23	53
Patient amenities	23	8	31	33	4	37
Staff training	6	3	9	3	0	3
Research	0	0	0	8	0	8
Building work	10	30	40	1	0	1
Service support	19	25	44	8	0	8
Grand Total	91	74	165	110	33	143

7. Analysis of charitable expenditure

The charity makes available financial grant support to NGH, KGH and NHFT as analysed below.

Charitable Expenditure	NGH			NHFT			KGH			Direct support			Grand total	2020/21		
	Grant	Support cost	Total	Grant	Support cost	Total	Grant	Support cost	Total	Grant	Support cost	Total		NHCF	KGH	Total
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's		£000's	£000's	£000's
Medical equipment	56	8	64	8	1	9	75	11	86	0	0	0	159	267	86	353
Staff amenities	41	6	47	1	0	1	43	6	49	57	9	66	163	284	295	579
Patient amenities	71	11	82	58	9	67	54	8	62	28	4	32	243	325	53	378
Staff training	42	6	48	0	0	0	5	1	6	11	2	13	67	27	6	33
Research	0	0	0	0	0	0	0	0	0	0	0	0	0	70	0	70
Building work	212	31	243	35	5	40	22	3	25	0	0	0	308	13	0	13
Service support	82	12	94	0	0	0	0	0	0	216	32	248	342	89	0	89
	504	74	578	102	15	117	199	29	228	312	47	359	1282	1075	440	1515

Notes on the accounts

Throughout the Covid pandemic period gifts were received for both patients and staff including Christmas Gifts as in previous years and were estimated to have a monetary value of £31k and have been treated as charitable expenditure. A small number of the gifts received were retained for distribution at a later date.

The £2k for maintaining and insurance for the archives, which are in the safe keeping of the charity, have been separately identified as direct costs.

8. Analysis of grants

The trustees operate a scheme of delegation, through which all grant funded activity is managed by fund advisers responsible for the day to day disbursements on their projects and is in accordance with the directions set out by the trustees in their procedure guidance notes and formally recorded in their scheme of delegation.

The charity does not make grants to individuals. The total cost of making grants is disclosed in the activity analysis on the face of the Statement of Financial Activities. The grants received by the beneficiaries for each category of charitable activity are disclosed in note 7 above.

9. Transfers between funds

The £44k income generated by endowments was transferred in full to support the work of the charity. £20k expenditure which had been incurred in relation to the production of a monument to promote organ donation was reclassified as a work of art and included within the works of art endowment fund.

10. Analysis of staff costs

Currently the charity has 9 employees directly employed by the charity. This equates to 8 full time equivalent staff.

	2020/21	2021/22
	£000's	£000's
Salaries and wages	131	226
Social security costs	12	17
Other pension costs	16	24
Total	159	267

Notes on the accounts

As in 2020/21 increase in contributions to the NHS pension scheme was funded directly by funding from NHS England.
No employees had emoluments in excess of £60k .

11. Auditor's remuneration

The auditor's remuneration of £12,000 (£8,161) relates to the audit along with additional work related to the restatement for the merger with the KGH charity and submission of the annual corporation tax return.

Notes on the accounts

12.Operational Fixed Assets & Land and Buildings

In 2017 the charity purchased Springfield, a building adjacent to the NGH site who are leasing the majority of the building and utilising it as an Urgent Care centre along with related office space.

During 2021 work was undertaken on a full renewal of the Springfield Roof in compliance with its Grade 2 listing status.

	Land	Operational buildings	Fixtures and Fittings	Total
	£000's	£000's	£000's	£000's
Cost				
Brought forward at 1 April 2021	200	263	36	499
Additions	-	88	7	95
Carried forward at 31 March 2022	200	351	43	594
Depreciation				
Brought forward at 1 April 2021	-	3	15	18
Charged during the year	-	4	6	10
Carried forward at 31 March 2022	-	7	21	28
Net Book Value				
At 31 March 2021	200	260	21	481
At 31 March 2022	200	344	22	566

Notes on the accounts

Investment building valuation

The proportion of Springfield that is leased to NGH as the Urgent Care Centre is treated as an investment building and valued using current indicative market price to determine valuation.

	Investment Building £000's
Valuation at 1 April 2021	809
Additions	238
Fair value adjustment	9
Valuation at 31 March 2022	1056

13. Heritage assets

Heritage assets are carried at a valuation prepared in 2016 by Bonhams based on a full reworking of the previous 2008 register as tabulated below. The valuation of the 2021 addition has been based on purchase cost.

	2020 valuation	Change in valuation	2021 valuation	Additions	2022 valuation
	£000's	£000's	£000's	£000's	£000's
Works of art	73	0	73	20	93
Books and Folios	296	0	296	0	296
	369	0	369	20	389

The trustees have taken the view that there has been no material movement in valuation since the last review so have continued to use the 2016 valuations. During 2021 the Organ Donation sculpture was completed and installed outside the NGH Hospital south entrance, it has been recognised within the accounts at the cost paid to the artist.

Deterioration of the remaining items has been viewed as minimal with conservation work undertaken during the year being treated as an expense of the Charity.

Notes on the accounts

14. Investments

Analysis of holdings

	As at 31 Mar 21	As at 31 Mar 22
	£000's	£000's
Investments in a Common Investment Fund		
Blackrock Charibond	153	141
Blackrock Charishare	937	1,005
Ruffer Charity Assets Trust	1,173	1,250
COIF Charities Deposit Fund	450	450
COIF Charities Investment Fund	14	15
	2,727	2,861

	As at 31 Mar 21	As at 31 Mar 22
	£000's	£000's
Market value brought forward	1,898	2,727
Less: Disposals at carrying value	0	0
Add: Acquisitions at cost	450	0
Net gain/ (loss) on revaluation	379	134
Market value carried forward	2,727	2,861

15. Total Gross income from investments and cash on deposit

	As at 31 Mar 21	As at 31 Mar 22
	£000's	£000's
Dividends	42	46
Interest	6	6
Lease income	27	44
	75	96

Notes on the accounts

16. Analysis of current assets

The stock entry of £4k reflects the value of retained Christmas gifts and the stock at the Berrywood shop. The previous year's stock value was £5k.

Debtors under 1 year

	As at 31/03/21			As at 31 Mar-22
	NHC £000's	KGH £000's	Total £000's	£000's
Accrued legacy income	100	267	367	262
KGH Debtors		4	4	
Prepayments	82		82	78
	<u>182</u>	<u>271</u>	<u>453</u>	<u>340</u>

Analysis of cash at bank and in hand

	As at 31/03/21			As at 31 Mar-22
	NHC £000's	KGH £000's	Total £000's	£000's
Bank balance held in Lloyds	43		43	392
Bank balance held in NatWest	217		217	935
KGH Charity account		1221	1221	0
Cash holdings	4		4	2
	<u>264</u>	<u>1221</u>	<u>1485</u>	<u>1329</u>

Notes on the accounts

17. Analysis of current liabilities and long-term creditors

	As at 31/03/21			As at
	NHCF	KGH	Total	31
	£000's	£000's	£000's	Mar- 22
Trade Creditors	8	0	8	34
Payroll Creditors	4	0	4	7
Other Creditors	216	54	270	62
	<u>228</u>	<u>54</u>	<u>282</u>	<u>103</u>

Other creditors represent sums owed at the year end by the charity to a related party, KGH, NGH or NHFT, for costs incurred on behalf of the charity in the furtherance of the charity's objects.

There are no long term creditors.

Notes on the accounts

18. Analysis of movements and purpose of main funds by beneficiary organisation and category for the past two years

Funds related to Northampton General Hospital

	Balance at 31st March 2020	Income	Expenditure	Transfers	Balance at 31st March 2021	Income	Expenditure	Transfers	Balance at 31st March 2022	Fund purpose
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	
Unrestricted Funds										
General Fund	136	45	181	59	59	30	80	0	9	Funds available for support of NGH
Volunteers Fund	15	5	6	0	14	40	37	0	17	Funds available for support of NGH
Total	151	50	187	59	73	70	117	0	26	
Designated Funds										
Breast Care Services	20	2	9	0	13	21	13	0	21	Designated fund for Breast Care Service
Intensive Therapy Unit	53	12	3	0	62	15	10	0	67	Designated fund for Intensive Care Unit
Child Health Dept	83	11	9	0	85	73	2	0	156	Designated fund for Child Health department
Clinical Biochemistry Fund	44	0	27	0	17	0	17	0	0	Designated for Biochemistry now merged with other Pathology funds
Clinical Haematology	78	4	1	-50	31	3	7	0	27	Designated fund for Haematology department
Pathology staff amenities	60	1	1	17	77	1	27	0	51	Designated for Pathology department, previously only heamatology lab
Oncology Department	87	53	21	0	119	13	8	0	124	Designated fund to support Oncology department
Do it for dementia	30	1	2	0	29	1	2	0	28	Designated fund for improving facilities for dementia patients
Cardiology Department	77	1	0	0	78	124	40	0	162	Designated fund to support cardiology department
Cardiology Research	23	0	0	0	23	0	0	0	23	Designated fund for Cardiology Research Funding
Talbot Butler Ward	91	80	1	0	170	18	9	0	179	Designated fund to support ward activities
Ophthalmic Department	7	2	0	0	9	96	0	0	105	Designated fund to support Ophthalmic department
ENT Department	93	1	62	0	32	0	1	0	31	Designated fund to support Ophthalmic department
Stroke Unit	24	2			26	28	2	0	52	Designated fund to support the Stroke unit
General Medicine	50	28	1	0	77	16	16	0	77	Designated fund to for General Medicine
Obs and Gynae Fund	38	91	89	0	40	3	2	0	41	Designated fund to for the Obsetrics department
Other ward and department funds	296	30	27	-54	245	36	2	0	279	
Total	1154	319	253	-87	1133	448	158	0	1423	

Notes on the accounts

Restricted funds related to Northampton General Hospital

	Balance at 31st March 2020	Income	Expenditure	Transfers	Balance at 31st March 2021	Income	Expenditure	Transfers	Balance at 31st March 2022	Fund purpose
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	
Restricted Funds										
<i>Urology Development Fund</i>	257	0	15	0	242	0	11	0	231	<i>Specific fund for Urology Department Development</i>
Danns radiotherapy legacy	74	0	0	0	74	0	0	0	74	<i>For radiotherapy staff training & equipment</i>
Organ & Tissue donation	19	8	15	0	12	7	13	-20	26	<i>Specific fund to promote organ and tissue donation</i>
Gosset Ward	123	3	24	0	102	9	9	0	102	<i>Specific fund to support special care baby unit / neonatal</i>
Smart Diabetic Research legacy	578	0	57	0	521	0	0	0	521	<i>Legacy with request to fund diabetic research</i>
Oncology Training and Research	44	12	0	50	106	0	0	0	106	<i>Specific fund to support Oncology research and training</i>
Covid 19	0	77	0	9	86	122	208	0	0	<i>Funds given specifically to support NGH response to covid challenges</i>
Other restricted funds	38	1	0	0	39	0	3	6	30	
Total	1133	101	111	59	1182	138	244	-14	1090	

Notes on the accounts

Funds directly related to Kettering General Hospital

	Balance at	Income	Expenditure	Transfers	Balance at				Balance at	Fund purpose
	31st				31st				31st	
	March				March	Income	Expenditure	Transfers	March	
	2020				2021				2022	
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	
Unrestricted Funds										
General Fund	60	77	34	0	103	16	12	-40	67	Funds available for support of KGH
Wish list fund	9	0	5	0	4	0	0	0	4	Funds available for support of KGH
Total	69	77	39	0	107	16	12	-40	71	
Designated Funds										
Lilford Haematology	234	6	26	0	214	13	85	0	142	Designated fund for Haematology department
Diabetes Fund	55	96	4	0	147	24	6	0	165	Designated fund for the Diabetes unit
Cardiology Fund	108	70	17	-51	110	125	0	40	275	Designated fund for the Heart unit
Kgh Forget-Me-Not Appeal	136	7	4	-31	108	0	0	0	108	Designated fund for improving facilities for dementia patients
Radiology Fund	79	19	17	0	81	24	0	0	105	Designated fund for Radiology department
Centenary Wing Fund	76	28	31	0	73	13	9	0	77	Designated fund for Cancer Care
Medical education fund	63	0	5	0	58	0	0	0	58	To support education facilities for staff
Intensive Care Unit Fund	42	9	10	0	41	25	2	0	64	Designated fund for the Intensive Care Unit
Scbu	40	5	10	0	35	4	7	0	32	Designated fund for the Specialist Baby Care unit
Pain Relief Fund	35	0	2	0	33	0	0	0	33	To support the work of the Pain Relief clinic
T&O Wards Fund	31	1	3	1	30	0	6	0	24	Designated fund for Trauma and orthopedic unit
Kettering Breast Care	20	44	35	0	29	18	1	0	46	Designated fund for the Breast care unit
Other funds	111	78	59	-1	129	107	44	0	192	
Total	1030	363	223	-82	1088	353	160	40	1321	
Restricted Funds										
Cardiac Invest Unit Med Equip	0	0	4	51	47	0	0	0	47	Purchase of medical equipment for Cardiology unit
Twinkling Stars Appeal	50	68	0	31	149	61	1	0	209	Appeal fund for improved facilities for bereaved families
ANHSC Covid 19 grants	0	270	223	0	47	0	35	0	12	Funds granted specifically to support KGH response to covid
Kettering Treatment Centre Extension	0	0	0	0	0	390	0	0	390	Donation from Crazy Hats to improve treatment centre amenities
Total	50	338	227	82	243	451	36	0	658	
Total	1149	778	489	0	1438	820	208	0	2050	

Notes on the accounts

Funds directly related to Northamptonshire Healthcare FT

	Balance at 31st March 2020	Income	Expenditure	Transfers	Balance at 31st March 2021	Income	Expenditure	Transfers	Balance at 31st March 2022	Fund purpose
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	
Unrestricted Funds										
General Fund	4	2	4	0	2	4	5	29	30	Available for all Community & Mental Health activities.
Danetre General Fund	24	10	11	0	23	0	10	0	13	Available for support of Danetre Hospital.
Total	28	12	15	0	25	4	15	29	43	
Designated Funds										
Podiatry	14	0	2	0	12	0	2	0	10	To support the work of the Podiatry team
Mental Health Funds	15	21	4	0	32	0	2	0	30	To support provision of Mental Health services
Chapel Fund	13	0	0	0	13	0	5	0	8	To support the work of the Chapel at Berrywood Hospital
Learning Disability funds	7	0	0	0	7	0	1	0	6	To support learning disability patients
Junior Doctors Education Fund	31	0	0	0	31	0	0	0	31	To support education facilities for Junior Doctors
Community services fund	13	0	1	0	12	0	1	0	11	To support the work of Community paediatric diabetes service
Community paediatric Diabetes service	9	0	1	0	8	0	0	0	8	To support the work of Community care teams
Continence team	19	0	0	0	19	0	0	0	19	To support the work of the Continence care team
Brackley centre fund	0	0	0	0	0	96	2	0	94	To support the work of the Brackley community facility
Other funds	58	7	2	0	63	6	3	0	66	
Total	179	28	10	0	197	102	16	0	283	
Restricted Funds										
Daventry -Palliative Care	17	0	0	0	17	0	0	0	17	To support the work of the Daventry based palliative care team
Palliative Care services	37	0	4	0	33	0	3	0	30	To support palliative care services across the county
Junior Doctors Palliative care training	7	0	0	0	7	0	0	0	7	To support the work of palliative care nurses across the county
Palliative Care Nurses	3	1	0	0	4	0	0	0	4	To support palliative care training for Junior Doctors
Covid 19	0	51	21	0	30	44	64	0	10	Funds given specifically to support NHFT response to covid
Total	64	52	25	0	91	44	67	0	68	
Investment gain reserve										
Unrestricted	54	0	0	0	54	0	25	(29)	0	
	54	0	0	0	54	0	25	(29)	0	
Total	325	92	50	0	367	150	123	0	394	

Notes on the accounts

Funds held centrally

	Balance at 31st March 2020	Income	Expenditure	Transfers	Balance at 31st March 2021	Income	Expenditure	Transfers	Balance at 31st March 2022
	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's	£000's
Unrestricted Funds									
General	5	17	11	0	11	39	34	0	16
Lottery	2	36	38	0	0	37	26	0	11
Total	7	53	49	0	11	76	60	0	27
Designated Funds									
Christmas gifts	3	17	18	0	2	5	2	0	5
Archive support fund	2	0	1	0	1	0	1	0	0
Thankfest initiative	0	0	0	0	0	4	36	0	-32
Covid 19 appeal	0	374	74	(164)	136	2	92	0	46
Total	5	391	93	(164)	139	11	131	0	19
Restricted Funds									
ANHSC Initial grants	0	137	292	155	0	0	0	0	0
ANHSC Grants for Social care	0	187	0	0	187	0	178	0	9
Total	0	324	292	155	187	0	178	0	9
Endowment Funds									
Constance Travis Endow ment	797	27	0	(27)	797	44	0	(44)	797
Heritage Assets Endow ment	369	0	0	0	369	0	0	20	389
Total	1166	27	0	(27)	1166	44	0	(24)	1186
Investment gain reserve									
Unrestricted	20	252	108	0	164	151	245	44	114
Restricted	65	170	48	0	187	0	82	0	105
	85	422	156	0	351	151	327	44	219
Total	1263	1217	590	(36)	1854	282	696	20	1460

Notes on the accounts

19 Summary of merged accounts

Consolidation of charity SOFA

	Note	NHFC				KGH				Restated Figures			
		Unrestricted	Restricted	Endowment	Total Funds	Unrestricted	Restricted	Endowment	Total Funds	Unrestricted	Restricted	Endowment	Total Funds
		2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21
		£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Income and endowments from:													
Donations & Grants	3	580	525	0	1,105	479	68	0	547	1059	593	0	1,652
Legacies	3	177	0	0	177	219	0	0	219	396	0	0	396
Fundraising & trading activities	4	76	1	0	77	0	0	0	0	76	1	0	77
Investments	15	48	0	27	75	0	0	0	0	48	0	27	75
Total incoming resources		881	526	27	1,434	698	68	0	766	1,579	594	27	2,200
Expenditure on:													
Raising funds	5	148	1	0	149	37	0	0	37	185	1	0	186
Historical archive running costs		1	0	0	1		0	0	0	1	0	0	1
Charitable activities	6							0	0				
• Medical equipment		248	19	0	267	82	4	0	86	330	23	0	353
• Direct service funding		81	8	0	89		0	0	0	81	8	0	89
• Building Works		1	12	0	13		0	0	0	1	12	0	13
• Patient Welfare & Amenities		152	173	0	325	53	0	0	53	205	173	0	378
• Staff Welfare & Amenities		92	192	0	284	295	0	0	295	387	192	0	579
• Research		0	70	0	70		0	0	0	0	70	0	70
• Staff training		26	1	0	27	6	0	0	6	32	1	0	33
Total resources expended		749	476	0	1,225	473	4	0	477	1222	480	0	1,702
Revaluation of investment buildings	12	(5)	0	0	(5)		0	0	0	(5)	0	0	(5)
Net gains/(losses) on investments	14	211	167	0	378		0	0	0	211	167	0	378
Net income/(expenditure)		338	217	27	582	225	64	0	289	563	281	27	871
Transfers between funds	9	(137)	164	(27)	0	(78)	78	0	0	(215)	242	(27)	0
Net Movement in funds		201	381	0	582	147	142	0	289	348	523	0	871
Reconciliation of Funds													
Total Funds brought forward	18	1,594	1,266	1,166	4,026	1,048	101	0	1,149	2,642	1,367	1,166	5,175
Total Funds carried forward	18	1,795	1,647	1,166	4,608	1,195	243	0	1,438	2,990	1,890	1,166	6,046

The KGH accounts have been revised to reflect KGH charity staff being deployed during financial year in hospital staff support role. £39k returned to charity. The residual balance on the Covid grant fund has been reclassified to restricted in line with grant guidance issued.

Notes on the accounts

Balance Sheet Consolidation

Restated opening balance sheet as at 1 April 2021

	NHFC				KGH				Restated Total			
	Unrestricted	Restricted	Endowment	Total Funds	Unrestricted	Restricted	Endowment	Total Funds	Unrestricted	Restricted	Endowment	Total Funds
	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21	2020/21
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
<i>Fixed assets:</i>												
Operational equipment	281	0	0	281				0	281	0	0	281
Investment Land & Buildings	212	0	797	1009				0	212	0	797	1009
Heritage assets	0	0	369	369				0	0	0	369	369
Investments	1,211	1,516	0	2,727	0	0		0	1,211	1,516	0	2,727
Total Fixed Assets	1,704	1,516	1,166	4,386	0	0	0	0	1,704	1,516	1,166	4,386
<i>Current assets:</i>												
Stock	4	0	0	4				0	4	0	0	4
Debtors	182	0	0	182	271	0		271	453	0	0	453
Cash and cash equivalents	67	197	0	264	978	243		1,221	1,045	440	0	1,485
Total Current Assets	253	197	0	450	1,249	243	0	1,492	1,502	440	0	1,942
<i>Liabilities:</i>												
Creditors falling due within one year	162	66	0	228	54	0		54	216	66	0	282
Net Current assets/(liabilities)	91	131	0	222	1195	243	0	1,438	1286	374	0	1,660
Total net assets or liabilities	1,795	1,647	1,166	4,608	1,195	243	0	1,438	2,990	1,890	1,166	6,046
The funds of the charity:												
Endowment funds	0	0	1,166	1166				0	0	0	1,166	1166
Restricted income funds	0	1,647	0	1,647	0	243		243	0	1,890	0	1,890
Unrestricted income funds	1,795	0	0	1,795	1,195			1,195	2,990	0	0	2,990
Total charity funds	1,795	1,647	1,166	4,608	1,195	243	0	1,438	2,990	1,890	1,166	6,046

Independent Auditor's report to the Trustees of Northamptonshire Health Charitable Fund

Opinion

We have audited the financial statements of Northampton Health Charitable Fund (the 'charity') for the year ended 31 March 2022 which comprise the Statement of Financial Activities, the Statement of Financial Position, the Statement of Cash Flows and notes to the financial statements, including significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland* (United Kingdom Generally Accepted Accounting Practice).

In our opinion, except for the effects of the matters described in the Basis for Qualified Opinion paragraph, the financial statements:

1. give a true and fair view of the state of the charity's affairs as at 31 March 2022, and of its incoming resources and application of resources, including its income and expenditure, for the year then ended;
2. have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
3. have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for qualified opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

The client merged with the Kettering General Hospital NHS Foundation Trust at the start of the year. The accounts of the Kettering General Hospital NHS Foundation Trust for the year ended 31 March 2021 were unaudited. We were therefore unable to obtain sufficient and appropriate evidence in relation to the opening balances of the Kettering General Hospital NHS Foundation Trust which have been included as part of the merged comparative values in the charity's accounts for the year ended 31 March 2022.

Conclusions relating to going concern

In auditing the financial statements, we have concluded that the trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the trustees with respect to going concern are described in the relevant sections of this report.

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Other information

The other information comprises the information included in the statement of trustees' responsibilities of Northampton Health Charitable Fund, other than the financial statements and our auditor's report thereon. The trustees are responsible for the other information contained within the annual report. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon. Our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the course of the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether this gives rise to a material misstatement in the financial statements themselves. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Opinions on other matters prescribed by the Charities Act 2011

In our opinion, based on the work undertaken in the course of the audit:

1. the information given in the trustees' report (incorporating the annual report and the trustees' report for the financial year for which the financial statements are prepared is consistent with the financial statements; and
2. the annual report and the trustees' report have been prepared in accordance with applicable legal requirements.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters in relation to which the Charities (Accounts and Reports) Regulations 2008 require us to report to you if, in our opinion:

3. the information given in the financial statements is inconsistent in any material respect with the trustees' report; or
4. sufficient accounting records have not been kept; or
5. the financial statements are not in agreement with the accounting records; or
6. we have not received all the information and explanations we require for our audit.

Responsibilities of trustees

As explained more fully in the trustees' responsibilities statement, the trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charity or to cease operations, or have no realistic alternative but to do so.

Independent Auditor's report to the Trustees of Northamptonshire Health Charitable Fund

Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud.

The charity is subject to laws and regulations that directly and indirectly affect the financial statements. Based on our understanding of the charity and the environment it operates within, we determined that the laws and regulations that were most significant included FRS 102, the Charities Act 2011, and Health and Safety regulations. We considered the extent to which non-compliance with these laws and regulations might have a material effect on the financial statements, including how fraud might occur. We evaluated management's incentives and opportunities for fraudulent manipulation of the financial statements (including the risk of override on controls), and determined that the principal risks were related to the posting of inappropriate accounting entries to improve the charity's results for the period, and management bias in key accounting estimates. In addition to this, we have also identified the following principal risk areas:

- Income recognition – there are two components to this risk, being revenue completeness and revenue cut-off;
- Going concern – an area that requires enhanced scrutiny in the context of the current challenging economic conditions and uncertainty;
- Portfolio valuation – there is a risk that the carrying value of the investment portfolio could be misstated due to changes in market values of shares;
- Mixed-use property valuation – there is a risk that the carrying value of the investment property element could be misstated due to an unsuitable valuation;
- Recognition of legacy income – there is the risk that legacy income could be recognised before it is received or before there is sufficient evidence over the certainty of a future receipt;
- Allocation of funds – there is a risk that funds are allocated incorrectly with restricted funds used for other purposes;
- Merger with the Kettering General Hospital NHS Foundation Trust – there is a risk that the merger is not performed correctly. There is also a risk that the arrangement does not meet the criteria for merger accounting;
- Heritage assets – there is a risk that the valuation of the assets held is not correct and may need to be impaired.

Audit procedures performed by the engagement team included:

- Discussions with management and those responsible for legal compliance procedures within the charity to obtain an understanding of the legal and regulatory framework applicable to the charity and how the charity complies with that framework, including consideration of known or suspected instances of non-compliance with laws and regulations and fraud;
- Reviewing minutes of trustees' meetings;
- Identifying and assessing the design effectiveness of controls that management has in place to prevent and detect fraud and non-compliance with laws and regulations;

Independent Auditor's report to the Trustees of Northamptonshire Health Charitable Fund

- Robustly challenging the trustees' assessment of going concern;
- Reviewing the valuation of investment portfolios at the year-end, and comparing share values to market values available on that date, to ensure values are recognised as appropriate;
- Reviewing the trustees' valuation of the investment property element of the mixed-use property;
- Reviewing manual journals in order to identify any that appear inconsistent with the charity's normal course of activities;
- Reviewing the formal paperwork and import journals in relation to the merger arrangement with the Kettering General Hospital NHS Foundation Trust;
- A physical verification of heritage assets, including consideration of impairment indicators.

As part of an audit in accordance with ISAs (UK), we exercise professional judgment and maintain professional scepticism throughout the audit. We also:

1. Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
2. Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the charity's internal control.
3. Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the trustees.
4. Conclude on the appropriateness of the trustees' use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the charity's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the charity to cease to continue as a going concern.
5. Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation (i.e. gives a true and fair view).

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

Use of our report

This report is made solely to the charity's trustees, as a body, in accordance with Part 4 of the Charities (Accounts and Reports) Regulations 2008. Our audit work has been undertaken so that we might state to the charity's trustees those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body, for our audit work, for this report, or for the opinions we have formed.



Independent Auditor's report to the Trustees of Northamptonshire Health Charitable Fund

Richard Burkimsher

Senior Statutory Auditor

For and on behalf of Hawsons Chartered Accountants

Statutory Auditor

Jubilee House

32 Duncan Close

Northampton

NN3 6WL